



## Audit Attestation for D-Trust GmbH

**Reference: AA2019120304**

Essen, 2019-12-03

To whom it may concern,

This is to confirm that "TÜV Informationstechnik GmbH" has successfully audited the CAs of the "**D-Trust GmbH**" without critical findings.

This present Audit Attestation Letter is registered under the unique identifier number "**AA2019120304**" and consist of 5 pages.

Kindly find here-below the details accordingly.

In case of any question, please contact:

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With best regards,

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**Dr. Silke Keller**  
Reviewer

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Leadauditor

**TÜV Informationstechnik GmbH – Member of TÜV NORD GROUP**

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Management Board  
Dirk Kretzschmar

Identification of the conformity assessment body (CAB):	TÜV Informationstechnik GmbH <sup>1</sup> , Langemarckstraße 20, 45141 Essen, Germany registered under HRB 11687, Amtsgericht Essen, Germany Accredited by DAkKS under registration D-ZE-12022-01 <sup>2</sup> for the certification of trust services according to “DIN EN ISO/IEC 17065:2013” and “ETSI EN 319 403 V2.2.2 (2015-08)”.
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Identification of the trust service provider (TSP):	D-Trust GmbH, Kommandantenstraße 15, 10969 Berlin, Germany, registered under HRB 74346 B, Amtsgericht Charlottenburg (Berlin), Germany
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Identification of the audited Root-CA:	Comodo RSA Certification Authority	
	Distinguished Name	CN = Comodo RSA Certification Authority, O = Comodo CA Limited, L = Salford, S = Greater Manchester, C = GB
	SHA-256 fingerprint	52F0E1C4E58EC629291B60317F074671 B85D7EA80D5B07273463534B32B40234
	Certificate Serial number	4CAAF9CADB636FE01FF74ED85B03869 D
	Applied policy	LCP of ETSI EN 319 411-1

<sup>1</sup> In the following termed shortly „TÜViT“

<sup>2</sup> <http://www.dakks.de/en/content/accredited-bodies-dakks?Regnr=D-ZE-12022-01-01>

The audit was performed as full period of time audit at the TSP's location in Berlin, Germany. It took place from 2019-10-07 until 2019-10-10 and 2019-10-21 until 2019-10-24 and covered the period from 2018-10-08 until 2019-10-07. The audit was performed according to the European Standards "ETSI EN 319 411-1, V1.2.2 (2018-04)" and "ETSI EN 319 401, V2.2.1 (2018-04)" as well as CA Browser Forum Requirements "EV SSL Certificate Guidelines, version 1.7.0" and "Baseline Requirements, version 1.6.6" considering the requirements of the "ETSI EN 319 403, V2.2.2 (2015-08)" for the Trust Service Provider Conformity Assessment.

The audit was based on the following policy and practice statement documents of the TSP:

1. Certificate Policy (CP) of D-Trust GmbH, Version 3.10 as of 2019-10-23 valid from 2019-11-12, D-TRUST
2. Certification Practice Statement of the D-TRUST Root PKI, Version 2.8 as of 2019-10-09 valid from 2019-11-12, D-TRUST
3. Certification Practice Statement of the D-TRUST CSM PKI, Version 2.8 as of 2019-10-09 valid from 2019-11-12, D-TRUST

The Sub-CAs that have been issued by the aforementioned Root-CA and that have been covered by this audit are listed in table 1 below. The Root CA "Comodo RSA Certification Authority" is not operated by D-TRUST GmbH and has **not** been in the scope of this audit. Only the mentioned Issuing CA "D-TRUST CA 2-1 2015" is covered by this audit attestation.

No major or minor non-conformities have been identified during the audit.

Identification of the Sub-CA	Distinguished Name	SHA-256 fingerprint	Certificate Serial number OID	Applied policy	Service	EKU	Validy
D-TRUST CA 2-1 2015	CN = D-TRUST CA 2-1 2015 O = D-Trust GmbH L = Berlin C = DE	081998D3D9F7E4CD9DA6A429470BF5D44280325244FA0E01EE5F972CA19F4852	2CC67B9269E87F770D71C0E49FF22E3E	LCP of ETSI EN 319 411-1	signature, encryption, authentication	id-kp-clientAuth 1.3.6.1.5.5.7.3.2 emailProtection 1.3.6.1.5.5.7.3.4	2015-06-15 to 2020-06-14

**Table 1: Sub-CA's issued by the Root-CA**

**Modifications record**

<b>Version</b>	<b>Issuing Date</b>	<b>Changes</b>
Version 1.0	2019-12-03	Initial attestation

**End of the audit attestation letter.**